

VENABLE, BAETJER, HOWARD & CIVILETTI, LLP
Including professional corporations

1201 New York Avenue, N.W., Suite 1000
Washington, D.C. 20005-3917
(202) 962-4800, Fax (202) 962-8300
www.venable.com

OFFICES IN

WASHINGTON, D.C.
MARYLAND
VIRGINIA

VENABLE
ATTORNEYS AT LAW

Venable
P.O. Box 34385
Washington, DC 20043-9998
Telephone: (202) 962-4800
Facsimile: (202) 962-8300

Docket No. 32011-164846

Date: July 7, 2000

NEW PATENT APPLICATION TRANSMITTAL RULE §1.53(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a nonprovisional patent application:

For (Title): NODE CONTROL DEVICE, NODE DEVICE AND OPTICAL PATH SETTING METHOD

By (Inventors): Yoshihiro NAKAHIRA

- ☒ 48 pages of Specification/Claims 1-17/Abstract are attached.
☒ Formal drawings (Fig. 1-15; 15 sheets) is attached.
☒ A Declaration and Power of Attorney is filed herewith.
☒ An assignment of the invention to **OKI ELECTRIC INDUSTRY CO., LTD.**, is filed herewith, along with form PTO-1595 and a check for \$40.00.
☐ A Statement to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is filed herewith.
☐ A Preliminary Amendment is filed herewith.
Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. __, filed __--
☒ Priority of foreign application No. 213186/1999 filed July 28, 1999 in JAPAN is claimed (35 U.S.C. §119).
☒ A certified copy of the above corresponding foreign application is filed herewith.

The filing fee is calculated below and includes claim status after entry of any Preliminary Amendment noted above:

SMALL ENTITY			LARGE ENTITY	
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<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+130 =	\$
			TOTAL	\$
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			RATE	FEE
				\$ 690
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			TOTAL	\$ 690

- ☒ A check in the amount of **\$690.00** is attached hereto. Except as otherwise noted herein, the Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 22-0261.
- ☐ This application is entitled to small entity status. DO NOT charge large entity fees to our Deposit Account.

Respectfully submitted,

James R. Burdett
Registration No. 81,594

#227368

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Yoshihiro NAKAHIRA

New Application

Filed: July 7, 2000

Attorney Dkt. No.: 32011-164846

For: NODE CONTROL DEVICE, NODE DEVICE AND OPTICAL PATH
SETTING METHOD

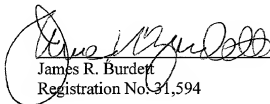
SUBMISSION OF PRIORITY DOCUMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is a certified copy of Japanese Application No. 213186/1999 upon which a claim to priority was made under 35 U.S.C. §119. The Examiner is respectfully requested to acknowledge receipt of said priority document.

Respectfully submitted,


James R. Burdett
Registration No. 31,594

Venable
Post Office Box 34385
Washington, D.C. 20043-9998
Telephone: (202) 962-4800
Facsimile: (202) 962-8300

Date: July 7, 2000

JRB:lrh
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NODE CONTROL DEVICE, NODE DEVICE AND

OPTICAL PATH SETTING METHOD

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates to a node control device, node device and optical path setting method suitable for establishing an optical network system.

Description of Related Art

Fig. 1 shows an aspect of a conventional optical network system. As Fig. 1 shows, the optical network system comprises user terminals 110 connected to routers (or a packet switching machine) 100 and optical cross-connects (OXC) or optical add-drop-multiplexer (OADM) 130 which are connected with the router 100 and are inter-connected via inter-office optical fibers 120. The optical cross-connect 130 is an device for executing the relay/insertion (adding)/extraction (dropping) of optical signals and the setting connection of optical signals (that is, optical path) 140 between two node devices which are not necessarily adjacent to each other.

Some of the optical cross-connects 130 do not use wavelength division multiplexing transmission technology, but as a result of recent research findings, many optical cross-connects use a wavelength division multiplexing transmission technology (or time division multiplex technology, or code division multiplex technology) where a plurality of optical signals are sent over one optical fiber, and these multiplexed signals are used as a resource for transmission so as to increase capacity. Actually,

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the optical cross-connects 130 in Fig. 1 can multiplex a plurality of optical signals having different wavelengths using wavelength multiplexers/demultiplexers and optical spatial switches. An interface 150 is disposed between the router 100 or electronic switching machine (for example, SDH systems or ATM systems) and the optical cross-connect shown in Fig. 1. For this interface 150, wavelength variable (or fix) type O/O (optical/optical) or E/O (Electric/Optical) conversion device and O/E (Optical/Electric) conversion device are used.

Next a packet transmission aspect implemented on such an optical network system will be explained. At first, a packet sent from a user terminal 110 is transmitted to the router 100 via the transmission path. The router 100 analyzes the header of this packet, and transfers the packet to an input interface 150 of the optical path (connection of optical signals) 140 which is set between interfaces of OXCs, where the destination terminal is connected to or an appropriate router for relaying. By repeating such an operation (packet relay by routers), the transfer target packet reaches the router 100 accommodating the destination user terminal, and is transferred to the destination user terminal 110 via this router.

The optical path 140 shown in Fig. 1 is set by the optical cross-connect (e.g. optical ADM (Add/Drop Multiplexer)) 130 and the optical fiber 120. The input/output interface 150 is disposed between the optical cross-connect 130 and the packet switching machine (e.g. router, electronic switching machine) 100.

Setting of the optical path 140 in the above mentioned optical network system, however, is semi-fixed. So a method for dynamically setting this optical path 140 according to the traffic is under current study.

It is accordingly the first object of the present invention to provide an optical path setting method for dynamically setting an optical path according to the traffic.

It is a second object of the present invention to provide a node control device for such an optical path setting.

It is the third object of the present invention to provide a node device having such a node control device.

SUMMARY OF THE INVENTION

(A) To achieve these objects, the first configuration example of the node control device of the present invention is a node control device which is disposed in each node device constituting an optical network system, and is used for controlling the packet transfer operation in each node device, comprising the following means.

The node control device comprises: (1) connection information response means for inquiring of node devices adjacent to a node device which the node control device controls (hereafter the present node device) about the connection information (sometimes include traffic information) on the present node device and connection information on the node device adjacent to the present node device each time a predetermined time elapses or a predetermined event is generated, and for responding with the connection information on the present node

device and the connection information on the node device adjacent to the present node device when the present node device receives the above inquiry from the node device adjacent to the present node device; and (2) optical path setting means for controlling optical switches based on the connection information on the entire optical network system obtained using the connection information response means when a cut-through setting packet is received or based on self judgment and setting an optical path which omits the layer 2 and layer 3 processing in the present node device.

In this way, according to the present invention, each node control device constituting the optical network system can set the optical path which omits the layer 2 and layer 3 processing in the node device, the node device being controlled by the node control device, according to the cut-through setting packet which the node control device received, or by the determination of the node control device itself. Therefore, transfer efficiency of user packets can be improved and processing delay can be decreased by the dynamic allocation of optical paths through which the loading due to the layer 2 and layer 3 processing is reduced.

(B) According to the second configuration of the node control device of the present invention, it is preferable that the node control device according to the first configuration further comprises cut-through optical path necessary/unnecessary determination means for determining the necessity of cut through before transmitting a cut-through request packet or transmitting

a cut-through setting packet, and selectively setting the cut-through optical path only when determined as necessary. This function can prevent the setting of a cut-through optical path for a small volume of packets, so as not to waste resources.

(C) According to the third configuration of the node control device of the present invention, it is preferable that the node control device according to the first and second configurations further comprises information channel insuring means for determining whether the information channel is insured after setting the cut-through optical path between the node devices on the route where the cut-through optical path is set before transmitting the cut-through setting packet, and setting the cut-through optical path only when the information channel is insured. This function can prevent a state where information cannot be exchanged between the node devices on the optical path route after setting the cut-through optical path.

(D) According to the first configuration of the node device of the present invention, a node device comprises: (1) a router (layer 3 system) for determining an output destination of a transfer packet which is input according to the header information; (2) an optical cross-connect for setting an optical path between arbitrary input/output optical fibers by relaying optical signals, extracting (dropping) optical signals from an optical fiber or inserting (adding) optical signals into an optical fiber; and (3) a node control device according to the above mentioned first or second configuration for switching the route of optical paths in the optical cross-connect according

to the instructions of the received transfer packet or based on self judgment.

By using such a configuration for the node device, the node device of the present invention can implement such effects as improving transfer efficiency, decreasing of processing delay and insuring the information channel, therefore, transfer efficiency of user packets can be improved and processing delay can be decreased by the dynamic allocation of optical paths through which the loading due to the layer 2 and layer 3 processing is reduced.

(E) According to the second configuration of the node device of the present invention, it is preferable that the node device according to the first configuration further comprises a switch which connects a destination-based buffer to some of the outputs from the router to the optical cross-connect, and can connect a packet read from the destination-based buffer to an arbitrary input port of the optical cross-connect. This configuration can improve communication efficiency using the cut-through optical path, therefore, transfer efficiency of user packets can be improved and processing delay can be decreased by the dynamic allocation of optical paths through which the loading due to the layer 2 and layer 3 processing is reduced.

(F) According to the third configuration example of the node device of the present invention, it is preferable that the node device according to the above mentioned second configuration further comprises allowable delay recognition function means at the router for determining the allowable delay of a transfer

packet, so that only packets with a large allowable delay are allowed to be output to the destination-based buffer and packet with a small allowable delay are directly output to the optical cross-connect or destination-based buffer prepared for small delay packets. By this configuration, a state where a packet with a small allowable delay time, such as a real-time type packet, is erroneously stored in the destination-based buffer can be prevented, and the deterioration of communication quality can be effectively prevented. As another implementation, buffers are prepared for allowable packet loss ratio or other QoS parameters.

(G) According to the fourth configuration of the node device, a node device comprises: (1) a router for determining an output destination of a transfer packet which is input according to the header information; (2) an optical cross-connect for setting an optical path between arbitrary input/output optical fibers by extracting (dropping) optical signals from an optical fiber, inserting (adding) optical signals into an optical fiber or relaying optical signals; (3) the node control device according to the above mentioned first or second configuration for switching the route of optical paths in the optical cross-connect according to the instructions of the received transfer packet or based on self judgment; and (4) optical path extraction (dropping)/insertion (adding) means for the information channel for extracting optical signals with a fixed wavelength insured for the information channel from the optical fiber, or for inserting the optical signals with a fixed wavelength into the

optical fiber, so as to enable communication of information signals with another node device.

By using such a configuration for the node device, the node device of the present invention can implement such effects as improving transfer efficiency, decreasing the processing delay and insuring the information channel, therefore, transfer efficiency of user packets can be improved and processing delay can be decreased by the dynamic allocation of optical paths through which the loading due to the layer 2 and layer 3 processing is reduced.

(H) According to the fifth configuration of the node device of the present invention, a node device comprises: (1) a router for determining an output destination of a transfer packet which is input according to the header information; (2) an optical cross-connect for setting an optical path between arbitrary input/output optical fibers by extracting (dropping) optical signals from an optical fiber, inserting (adding) optical signals into an optical fiber or relaying optical signals; (3) the node control device according to one of the above mentioned first or second configurations for switching the optical paths in the optical cross-connect according to the instructions of the received transfer packet or based on self judgment; and (4) pilot tone signal super-imposing (overlying)/receiving means for the information channel for super-imposing (overlying) pilot tone signals for the information channel on an optical path for user data or separating pilot tone signals for the information channel from the optical path for user data so as

to enable communication of information signals with another node device.

By using such a configuration for the node device, the node device of the present invention can implement such effects as improving transfer efficiency, decreasing processing delay and insuring the information channel, therefore, transfer efficiency of user packets can be improved and processing delay can be decreased by the dynamic allocation of optical paths through which the loading due to the layer 2 and layer 3 processing is reduced.

(I) According to the sixth configuration example of the node device of the present invention, in the node device according to the above mentioned fourth configuration, control information is transmitted by a time division multiplex system using the pilot tone signals for the information channel. This can eliminate the potential collision of pilot tones.

(J) According to the optical network system of the present invention, an optical network system is comprised of a plurality of node devices disposed according to one of the above mentioned first to sixth configurations, so that such effects as improving transfer efficiency, decreasing processing delay and insuring the information channel can be implemented, therefore, transfer efficiency of user packets can be improved and processing delay can be decreased by the dynamic allocation of optical paths through which the loading due to the layer 2 and layer 3 processing is reduced.

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(K) According to the first configuration of the optical path setting method, an optical path setting method in an optical network system comprises: (1) a step where each node device inquires of node devices adjacent to the present node device about connection information (sometimes including traffic information) on the present node device and connection information on the node device adjacent to the present node device each time a predetermined time elapses or a predetermined event is generated, and the present node device responds with the connection information on the present node device and the connection information on the node devices adjacent to the present node device when the present node device receives the inquiry, and (2) a step where each node device controls optical switches based on the connection information on the entire optical network system which each node device obtained in the above step when a cut-through setting packet is received or based on self judgment, and sets an optical path which cuts through packet processing done in the higher layer of the node device which the node control device controls.

This can improve transfer efficiency and decrease the processing delay of the user packet, therefore, transfer efficiency of user packets can be improved and processing delay can be decreased by the dynamic allocation of optical paths through which the loading due to the layer 2 and layer 3 processing is reduced.

(L) According to the second configuration of the optical path setting method of the present invention, it is preferable that,

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, features and advantages of the present invention will be better understood from the following description taken in connection with accompanying drawings, in which:

Fig. 1 is a drawing depicting a prior art of an optical network system;

Fig. 2 is a functional block diagram depicting a first embodiment of the node device in accordance with the present invention;

Fig. 3 is a drawing depicting an embodiment of the optical network system in accordance with the present invention;

Fig. 4 (including Figs. 4(A)-4(D)) is a drawing for explaining an example of setting of a cut-through optical path in accordance with the present invention;

Fig. 5 (including Figs. 5(A)-5(C)) is a diagram for explaining another example of setting of a cut-through optical path in accordance with the present invention;

Fig. 6 is a drawing depicting a second embodiment of the node device in accordance with the present invention;

Fig. 7 is a functional block diagram depicting a third embodiment of the node device in accordance with the present invention;

Fig. 8 is a functional block diagram depicting a fourth embodiment of the node device in accordance with the present invention;

Fig. 9 is a functional block diagram depicting a fifth embodiment of the node device in accordance with the present invention;

Fig. 10 is a functional block diagram depicting a sixth embodiment of the node device in accordance with the present invention;

Fig. 11 is a functional block diagram depicting a seventh embodiment of the node device in accordance with the present invention;

Fig. 12 is a functional block diagram depicting an eighth embodiment of the node device in accordance with the present invention;

Fig. 13 is a drawing depicting an example of super-imposing (overlying) pilot tone signals for explaining embodiments of the present invention;

Fig. 14 is a drawing depicting the optical path; and

Fig. 15 (including Figs. 15(A) and 15(B)) is a drawing depicting the optical path setting state.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

(A) Configuration of Optical Network System

Fig. 3 shows an embodiment of the optical network system in accordance with the present invention. As Fig. 3 shows, this optical network system comprises node device 2 to which one or more terminals 1 are connected, and optical fibers 3 which inter-connect these node device 2. Although Fig. 3 shows the case when the optical network is created in a net configuration,

the optical network can also be created in a loop or other configuration.

In this optical network system, the node device in accordance with embodiments to be discussed later are used for the node device 2. The node device 2 comprises three functional parts: a router 2A, a node control function part 2B for controlling the operation of the node device in general, and an optical cross-connect 2C.

These three functional parts need not be disposed in a single housing, but may be disposed in separate housings respectively. In the following description, a device which implements the optical path setting function, which is implemented by linking these three functional parts, is called the "node device".

In the following description, when it is necessary to distinguish the node device having a configuration unique to the present invention from an ordinary node device which is generally used, the node device having the configuration unique to the present invention is called the "IP (Internet Protocol)/optical multi-layer switch node device".

Now various embodiments will be described in sequence, taking an example in which the node device, node control device and the optical path setting method in accordance with the present invention are implemented as an IP/optical multi-layer switch node.

(B) First Embodiment

(B-1) Functional Configuration

Fig. 2 shows a functional configuration of the node device in accordance with the first embodiment. As Fig. 2 shows, or as the above description explains, the node device 2 comprises a router 2A, a node control function part 2B and an optical cross-connect which is called as an optical XC (OXC) 2C. The node control function part is also referred to as node control device.

The router 2A has a function to read the header part from the packet signal which was input, and determines the output destination according to the destination described in this header part. The destination here is not only another node device or a terminal or network system connected to the concerned node device (hereafter this may be referred to as "present node device"), but may be the present node device itself. The router 2A also has a function to notify the information on the transferred packet to the node control function part 2B.

The node control function part 2B is a function part equivalent to the node control device, and constitutes a major part of the node device in accordance with the present embodiment. The node control function part 2B, which comprises an optical path setting request function part 2B1, an optical path setting function part 2B2, a connection information response function part 2B3, and other necessary function parts, collates the network information obtained by the above mentioned connection information response function part 2B3 and information of the arriving packet (or information of a packet which is currently flowing) and sends a switching instruction of an optical path

fiber the optical signal is relayed to or is dropped from, or which optical fiber the input optical signal is added to, is determined by the optical switching function inside the OXC. Details on each function part are described below.

(B-2) Configuration of Node Control Functional Part 2B

The node control function part 2B in accordance with this embodiment comprises the following three function parts.

- (a) Optical path setting request function part 2B1
- (b) Optical path setting function part 2B2
- (c) Connection information response function part 2B3

(B-2-1) Optical Path Setting Request Function Part 2B1/Optical Path Setting Function Part 2B2

As mentioned above, the node control function part 2B has a function to collate the network information obtained by the connection information response function part 2B3 and information of the arrived packet (or information of a packet which is currently flowing), and instructs to switch the optical path allocation by the optical cross-connect of present node device and another cross-connect part 2C only when determined as necessary of the cut-through setting based on self judgement of the node control function part.

The above mentioned "information of the arrived packet and information of a packet" does not mean information of the data part of the packet, but information of the packet flow. In other words, the quantity of arrived packet and packets which are relayed and transferred, and the required transfer quality (bandwidth, delay time, allowable packet loss ratio), are shown.

Switching of an optical path will now be described with reference to Fig. 15 (A) and Fig. 15 (B). Just like the case in Fig. 14, four node devices 10, 20, 30 and 40, which comprise a router and optical cross-connect respectively, are sequentially connected via optical fibers.

In the optical path setting state shown in Fig. 15 (A), all the optical paths B, C, D and E are dropped and added in the node device 20. Now it is assumed that when packets are sent from the node device 10 to the node device 20 via the optical path E, a part of the packets are forwarded to a terminal connected to the node device 20, and the remaining majority of the packets are forwarded to the node device 30 via the optical path C. And, it is assumed that all the packets sent from the node device 10 to the node device 20 via the optical path D are forwarded to the optical path B of the node device 30. In other words, it is assumed that most of the packets are relayed and transferred in this example.

This means that the router of the node device 20 is executing load processing of packets to be relayed and transferred, not the packets for the terminal connected to the self node device 20. When the router is in such a state, the node control function part sets another new optical path, as shown in Fig. 15 (B).

In other words, optical paths D and B, shown in Fig. 15 (A), are set as a new optical path F in Fig. 15 (B). This optical path F omits IP layer processing in the node device 20, that is, the packets are relayed and output only through the layer of the optical path.

To implement this function, disposed are the optical path setting request function part 2B1 and the optical path setting function part 2B2. Of these, the optical path setting request function part 2B1 instructs to switch the direction of optical paths, and the optical path setting function part 2B2 executes actual switching of directions based on the switching instructions.

(B-2-2) Connection Information Response Function Part 2B3

The connection information response function part 2B3 inquires of a node device adjacent to a node device which the node control device controls (hereafter present node device) about connection information on the present node device and connection information on the node device adjacent to the present node device each time a predetermined time elapses or a predetermined event is generated, and responds with the connection information on the present node device and the connection information on the node device adjacent to the present node device when the inquiry is received from the node device adjacent to the present node device.

The connection information response function part 2B3 implements a function to inquire which router is connected to a logically adjacent router 2A, and which function the optical cross-connect device part (or optical ADM device) 2C connected to the present node device has, and how the optical path 3 is connected at each predetermined time elapses or at each time an event occurs, and responds when an inquiry is received.

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The inquiry and response here may be implemented as a function of the router 2A using a packet signal or by another line.

In the optical network system as shown in Fig. 3, plural node devices, for example, a present node device (a first node device), a second node device adjacent to the first node device, a third node device adjacent to the second node device, and etc., are provided therein.

In such system, a router 2A in the second node device adjacent to the present node device must have been written information of a router 2A in the third node device adjacent to the second node device adjacent to the present node so the node control function part 2B can obtain information on the entire network by repeatedly executing the first function. Such an inquiry can also be executed for node device other than the adjacent node device 2.

The information on the adjacent node device (router 2A + optical cross-connect 2C) determined by this function, information on the node device 2 adjacent to that adjacent node device and communication status between the node device are sent to the router 2A.

(B-3) Optical Path Setting Operation

Next the optical path setting operation by the node device having the above mentioned functional configuration will be described. Fig. 4 and Fig. 5 show a process where an optical path for cut through is set in the optical network. In Fig. 4 and Fig. 5, it is assumed that the first and fourth node devices

21, 22, 23, 24 (shown as node device (1) - (4) in Fig. 4 and Fig. 5) are disposed in the optical network, and one terminal (shown as terminals 1 - 4 in Fig. 4 and Fig. 5) is connected to each of the node devices.

In the first state (Fig. 4 (A)), each node device 2 (21, 22, 23, 24) is connected to each other via the optical fiber 3, but the respective function, performance and optical path status is unknown to each other. In this state, each node device 2 executes processing by the above mentioned connection information response function part 2B3, and starts collecting information on the adjacent node device (Fig. 4 (B)). In other words, each node device transmits information on the present node device to the adjacent node device. By this, the node device 21, for example, obtains information on the node device 22 and the node device 23. In the same way, the node device 22 obtains information on the node device 21 and node device 24.

When such a transfer of information ends, each node device 2 transmits information (which the node device 22 has) to another node device adjacent to this node device (Fig. 4 (C)). Since each node device 21, 22, 23, 24 has information which is transferred from the adjacent node device in the above transfer, one node device receives information on its adjacent node device and the device adjacent to that adjacent node device. In the case of the node device 21 (node device (1)), for example, the information on the node device 24 (node device (4)) which the node device 22 (node device (2)) has can be obtained. By

executing this process periodically, each node device 2 (21, 22, 23, 24) can obtain the information on the entire optical network.

Let us assume that a user packet, from a terminal to another terminal constituting the optical network, flows into the optical network in such a state. Here, it is also assumed that the user packet from the terminal 1 to the terminal 4 flows into the node device 21. At this time, the router 2A of the node device 21 analyzes the addresses of the user packet, recognizes that the start point node device is the node device 21 itself and the end point node device is the node device 24. Also the router 2A of the node device 21 recognizes that there are a lot of optical path resources available between the node devices 21 and 22, and between the node devices 23 and 24 based on the information which has been obtained.

As a result of this analysis, the node device 2 (21, 22, 23, 24) determines the route of an optical path by the optical path setting request function part 2B1 such that the number of hops in the router 2A on the transmission route is minimized, that is, the optical path is allocated to cut through the router 2A. Then the present node device instructs (requests) the node device on the relay route to actually set this optical path.

In the case of Fig. 5 (A), for example, the node device 21 requests the node device 22 and 24 to set an optical path which cuts through the node device 22 between the node devices 21 and 24.

The cut-through optical path does not have to be allocated to any packets which are forwarded from the start point node

device to the end point node device only one hop. Because, even if only a little number of packet forwarding processings decrease at the router 2A, the load to be applied to the router 2A decreases, and throughput improves and delay time decreases.

Even if the number of cut throughs is not at the maximum, improving throughput in the entire optical network system or decreasing the delay time can be expected.

When the node device 22 and node device 24 receive this request, the node device 22 and the node device 24 set an actual cut-through optical path using the optical path setting function part 2B2, and notifies completion of the setting to the node device 21 when the setting is completed (Fig. 5 (B)). In Fig. 5 (B), the route of this notice is shown by the directional arrow marks. By this notice, the node device related to the cut through updates the routing table which is used for routing of the user packet. After updating of the routing table, the packet is transferred via the route of the newly set optical path (Fig. 5(C)).

There are some handling methods of the user packet during cut-through optical path setting, for example, a method of allowing the user packet to standby in the node device until the new route of the cut-through optical path is set, or a method of transferring the user packet via a conventional route until the new cut-through optical path is set, then switching the route after setting the new cut-through optical path.

The router control part 2B2 can release the newly set cut-through optical path when a predetermined time has elapsed

part 2B to be mounted on the node device 2 in each above mentioned embodiment, and is equivalent to a variant form of the above mentioned embodiments.

Here, the new functional part to be added is called the cut-through optical path necessary/unnecessary determination function part 2B4. This cut-through optical path necessary/unnecessary determination function part 2B4 determines the necessity of setting the cut-through optical path before the setting operation described in the respective above mentioned embodiments actually start, and selectively sets the cut-through optical path only when it is determined as necessary.

Specifically, the cut-through optical path necessary/unnecessary determination function part 2B4 judges the necessity of the cut-through optical path before the edge node device transmits the cut-through request packet or, based on the following criteria, the cut-through setting packet.

The criteria used here is whether a large volume of packets having the same transmission source address (SA) and the same destination address (DA) will be transferred on the optical network system in the future or not. This possibility is determined not only by determining whether it is possible that a large volume of packets will flow on the same route, but also whether the packets are application packets for which the requirement for delay times is strict (real-time packets).

Only when it is determined that setting of a new cut-through optical path is necessary, the cut-through setting packet

described in the respective above mentioned embodiments is allowed to be transmitted.

Determining whether a new cut-through optical path is set based on the cut-through optical path necessary/unnecessary determination function part 2B4 need not be executed by the edge node device.

In this way, if the node device (node control device, optical path setting method) having a functional configuration in accordance with the second embodiment is disposed on the optical network system, the cut-through optical path is set only when a new path is necessary. For another case, the cut-through optical path is set only when a highly required service for the entire network or for a certain user is provided, therefore a system which exhibits minimal waste of wavelength resources can be constructed under current optical communication technology, where the number of wavelengths is limited to several ten to several hundred.

(D) Third Embodiment

Generally speaking, the first and second embodiments described above are methods combining the basic concept, which is the dynamic allocation of optical paths, and a flow-driven type multi-layer switch in IP/ATM (Asynchronous Transfer Mode) router represented by MPLS (Multi-Protocol-Label-Switching). However, the environment assumed in IP/ATM and the resource environment assumed in IP/Lightwave, which is described in the present specification, are not always the same.

For example, in the case of IP/ATM, many channels can exist since ATM can set a lot of channels logically on a physical network, therefore the band of each path can be narrow.

In the case of IP/Lightwave, on the other hand, the number of optical paths is limited to the number of optical wavelengths multiplexed (or optical code in OCDM network). Here the current optical transmission signal speed is 2.5 [Gbit/sec] - 40 [Gbit/sec], and compared to this speed, the speed of the router 2A is often slower. The speed of the interface may be as high as 2.5 [Gbit/sec], but this speed is often impossible for actual throughput or very expensive. Also, even if the speed of the router 2A reaches ultra-high-speed, the speed of the access system or application speed is not always that fast.

An object of the present embodiment is implementing a node device (node control device, optical path setting method) which can use the bandwidth of the optical path as effectively as possible, and implementing an optical network system where bandwidth use of the optical path is efficient. Fig. 7 shows a configuration example of a node device in accordance with the present embodiment. For the node control function part 2B, one of the node control function part 2B, described in the first to fourth embodiments, is applied.

The configuration unique to the present embodiment is characterized by that the destination-based buffer 2D is disposed at some outputs of the router 2A constituting the node device 2. In other words, data output of the router 2A is input

to the optical cross-connect (optical ADM) 2C via the destination-based buffer 2D.

The destination-based buffer 2D has a switch function part 2E which allows guiding the signal read from each buffer to an arbitrary input port of the optical cross-connect 2C. This switch function part 2E can be implemented in various way, such as a function of the optical cross-connect 2C side.

Next the IP packet transfer operation, which is implemented by the node device 2 in accordance with the present embodiment, will be described. When an IP packet is sent from a terminal (or access net) to a specific destination, the node device 2 in the present embodiment once stores the IP packet in the destination-based buffer 2D. When a number of IP packets have been stored in the destination-based buffer 2D, cut-through optical path setting processing is executed by the node control function part 2B.

When the total number of data lines between the router 2A and the switch function part 2E is x , the total number of data lines in the switch function part 2E and the interface part 2C1 is y , and the number of data lines which have no destination-based buffer among the data lines between the router 2A and the switch function part 2E is z in Fig. 7, $y = z$ is preferable, even although x , y and z are arbitrary numbers.

When the cut-through optical path is set, the IP packets, which have been stored in the destination-based buffer 2D thus far, are all transmitted to the destination side node device. After this transmission ends, this cut-through optical path is

released. After releasing, this optical path is used for the transmission of IP packets stored in another destination-based buffer 2D. A description on the cut-through optical path setting operation is omitted here, since it is the same as the operation described in the respective above mentioned first to fourth embodiments.

The destinations of the IP packets stored in the destination-based buffer 2D need not be exactly the same. Even if the final destination is different, a packet can be effectively stored in the same destination-based buffer 2D if the packet passes through the same route.

If the node device (node control device, optical path setting method) having the functional configuration in accordance with the fifth embodiment is disposed in the optical network system, a decrease of load to be applied to the router 2A and an improvement of throughput can be implemented by setting the cut-through optical path, and also a limited band of optical signals can be more effectively used.

In particular, because the currently available commercial optical switches have relatively slow switching speeds, there is a demand to minimize the overhead required for switching time, that is, a demand to setting the optical path for a period of time while outputting accumulated a number of IP packets without frequently switching the optical path. This method effectively satisfies such demand.

(E) Fourth Embodiment

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Next the fourth embodiment will be described with reference to Fig. 8. The fourth embodiment corresponds to a modification form of the above mentioned third embodiment. The difference between the fourth embodiment and the third embodiment is that in the case of the sixth embodiment, an allowable delay recognition function part 2A1 is newly disposed in the router 2A constituting the node device 2. The allowable delay recognition function part 2A1 functions primarily at the transmission side edge node device.

This function part is provided for the purpose of adaptively preventing the occurrence of problems which may occur when the third embodiment is used alone. In other words, in the case of the third embodiment, an improvement of throughput and effective use of bandwidth are possible, but the delay time may become a problem. For example, in the case of packets of real-time system applications, such as an Internet TV telephone, service quality may drop if packets are stored for a predetermined time or longer.

In the node device in accordance with the present embodiment, the allowable delay recognition function part 2A1 of the router 2A first determines whether the current transfer target packet is a packet of a real-time system application such as an Internet TV telephone, or a packet of a non-real-time system application such as a file transfer. As a result of this determination, a packet transfer using the destination-based buffer 2D is selected only for the packet determined as the latter.

There is another operation method. In this operation method, the destination-based-buffer is classified not only by

destination but also by the quality class. A packet for real-time processing is classified into packets for real-time processing and is transferred by connecting the cut-through optical path to the destination in a short interval.

A packet for which a long delay time is acceptable is stored in a buffer for such packets, and the optical path is connected when a sufficient number of packets are stored in this buffer, so that these packets are output all at once to destinations via this optical path.

By using such an operation method, the optical network system and the node devices constituting the optical network system can handle packets which have strict delay time conditions, while effectively utilizing the bandwidth of the optical path.

In this way, if the node device (node control device, optical path setting method) having the functional configuration in accordance with the fourth embodiment is disposed in the optical network system, packets of a real-time system application can be transferred without being delayed in a queue in the destination-based buffer, and has the features of the third embodiment as well.

(F) Fifth Embodiment

Generally speaking, and as mentioned in the above, the first and second embodiments are methods combining the basic concept, which is the dynamic allocation of optical paths and flow driven type multi-layer switch in an IP/ATM router such as MPLS, as mentioned above. However, the resource environment assumed in IP/ATM and the resource environment assumed in IP/Lightwave,

which is described in the present description, are not always the same.

For example, in the case of IP/ATM, communication with an adjacent node device is insured, since ATM can set channels logically on a physical network.

In the case of IP/Lightwave, on the other hand, the method used for ATM cannot be used for a logical channel for an information transfer, since the number of optical paths is the same as the number of optical wavelengths multiplexed or the number of code for OCDM. Therefore, if a node device of an optical network system is set so as to use all optical paths to which an adjacent node device inputs to for cut through, then communication, such as release of an optical path setting, cannot directly be executed between the node devices and the adjacent node device.

In the node device in accordance with the present embodiment, it is proposed to dispose a function part for executing control to always keep free an optical path to be used as an information channel between the present node device and another node device. This function part is called an information channel insuring confirmation function part 2B5.

Fig. 9 shows a configuration example of the node device in accordance with the present embodiment. An information signal processing function part for exchanging information signals with another node device is disposed in the node control function part 2B, although the information signal processing function part is not illustrated in Fig. 9 (or in other drawing). Disposition

of the information signal processing function part is the same for the above mentioned and later mentioned embodiments.

Although Fig. 9 shows a configuration where the information channel insuring confirmation function part 2B5 is added to the node device in accordance with the above mentioned first embodiment, it is certainly possible to apply the information channel insuring confirmation function part 2B5 in accordance with the present embodiment to each node device in accordance with the second to fourth embodiment.

Next the setting of a cut-through optical path by the node device having such a configuration will be described. When the node device 2 receives a request to omit the layer 2 and layer 3 processing by the optical layer (layer 1), the node device 2 confirms the existence and appropriateness of the wavelength resource of the present node device by function part of the node control function part 2B, and determines whether cut through is possible.

When the setting of the cut-through optical path is possible and the optical path is actually set, the node device 2 determines whether an information channel required for communication between the present node device and another node device is free by the information channel insuring confirmation function part 2B5. If it is confirmed that a required information channel is kept, the node device 2 actually executes switching to the optical path.

A general data packet can also be transferred to the optical path for an information channel insured in this manner. It is

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easiest to set this information channel between the present node device and an adjacent node device, but this approach is not an absolute. Even if some packet transfers are necessary (using a longer route), required information (packet) can reach its destination via the insured information channel.

As mentioned above, if the node device (node control device, optical path setting method) in accordance with the present embodiment is disposed in the optical network system, an information channel can always be insured between adjacent nodes, in addition to the effects of the respective above mentioned embodiments. Therefore such communication as releasing the setting of an optical path can always be directly executed.

(G) Sixth Embodiment

Next the eighth embodiment will be described with reference to Fig. 10. The above mentioned fifth embodiment is a configuration example based on a technical concept only to insure an information channel. Whereas in the present embodiment, which is a node device which sets a dedicated optical path in advance for an information channel, that is, allocates a certain optical wavelength dedicated to an information channel, so as to insure releasing the setting of the cut-through optical path, will be described.

To implement such a function, in the case of the node device 2 in accordance with the present embodiment, an optical path extraction/insertion (drop/add) part for the information channel 2F to extract (drop) optical signals with a specified wavelength from the optical fiber 3 or insert (add) optical

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In this way, if the node device (node control device, optical path setting method) in accordance with the present embodiment is disposed in the optical network system, an information channel required for the transfer of information for switching of an optical path can be absolutely and physically insured.

(H) Seventh Embodiment

Next the seventh embodiment will be described with reference to Fig. 11. Unlike the above mentioned sixth embodiment, in the seventh embodiment, a dedicated optical path for the information channel is not set in advance, instead a pilot tone signal is super-imposed (overlapped or overlaid) on the normal cut-through optical path for user data so as to insure the information channel at all times.

The node device 2 in accordance with the present embodiment implements this function by the pilot tone signal transmission function part 2G disposed in the node device. By this function, information can be exchanged between adjacent nodes (or nodes slightly distant from each other), even if all or almost all optical paths in a node device 2 are set to the cut-through optical paths.

A certain effect can be expected when the present method is applied as is, but if a plurality of node device on the same path transmit pilot tone signals at the same time, a collision of pilot tone signals may occur at the node device in downstream, and transmitted information may not be received.

Therefore in the present embodiment, it is more effective to add the following means. For example, the pilot tone signals are transmitted in bursts only when information is transmitted. In this case, even if transmitted information cannot be received due to a collision of pilot tone signals, the node device furthest downstream can request a resend using the idle time of the information channel to the upstream nodes. Another example is predetermining to always reply an acknowledgment (ACK) to the transmission side whenever information is received normally, so that information is automatically resent if the acknowledgment (ACK) is not returned.

In this case, the probability of an occurrence of collisions can be further decreased if the node device at the upstream side executes a resend at different timing by some means (e.g. determining a time until a resend by random numbers).

If the node device (node control device, optical path setting method) in accordance with the present embodiment is disposed in an optical network system, an information exchange between adjacent node device (including node device slightly distant from each other) becomes possible, even if all or almost optical paths pass through the node device without layer 2 or layer 3 processing.

(I) Eighth Embodiment

Next the eighth embodiment will be described with reference to Fig. 12. Unlike the above mentioned seventh embodiment, in the present embodiment, an information channel is insured by time

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division multiplex (TDM) of pilot tone signals on a normal cut-through optical path for user data.

The node device 2 in accordance with the present embodiment implements this function by TDM pilot tone transmission function part 2H for the information channel which is disposed in the node device. Fig. 13 shows an example of time division multiplex (TDM) of pilot tone signals. In Fig. 13, with reference to time slots t1, t2 and t3 when the node device 2(1) furthest upstream transmitted pilot tone signals, the node devices 2(2), 2(3) and 2(4) in subsequent stages overlay respective information in different time slots (P1, P2, P3; q1, q2, q3) respectively.

In this way, if the node device (node control device, optical path setting method) in accordance with the present embodiment is disposed in the optical network system, information can be transferred without a collision of pilot tone signals occurring.

In the present embodiment, a TDM system is used for the transmission of pilot tone signals, but a transmitter-receiver for pilot tone signals with different frequencies may be provided in each device, so as to enable communication between the node devices by the transmitter-receivers. Here, as a rule, a different frequency for a pilot tone signal is assigned to each node device. However, if a pilot tone signal from another node device received by a node device which distance is sufficiently distant, is noticeably weak, the same frequency may be used in an appropriate spatial arrangement.

(J) Other Embodiments

In the above mentioned embodiments, the case when the layer 3 switch, where packets are transferred or omitted for forwarding based on the layer 3 (network layer) address of the input packet, was described for the node device 2, but the present invention can also be applied to the layer 4 switch where the header information of the layer 4 (transport layer) of the input packet, such as the port numbers of TCP and UDP, is read to transfer packets. In this case, processing for the layer 2 and layer 3 or layers higher than the layer 3 is omitted.

What is claimed is:

1. A node control device which is disposed in each node device constituting an optical network system, and is used for controlling the packet transfer operation in each node device comprising:

connection information response means for inquiring of node devices adjacent to a node device which said node control device controls (hereafter the present node device) about connection information on said present node device and connection information on the node devices adjacent to said present node device each time a predetermined time elapses or a predetermined event is generated, and for responding with the connection information (sometimes including traffic information) on said present node device and connection information on the node devices adjacent to said present node device when said present node device receives said inquiry from the node devices adjacent to said present node device; and

optical path setting means for controlling optical switches based on the connection information on the entire optical network system obtained using said connection information response means when a cut-through setting packet is received or based on self judgment of said node control device, and setting optical paths which omit packet processing in the layer 2 and layer 3 systems of said present node device.

2. The node control device according to Claim 1, further comprising cut-through optical path necessary/unnecessary determination means for determining the necessity of cut through

before transmitting a cut-through request packet or transmitting a cut-through setting packet, and selectively setting the cut-through optical path only when determined as necessary.

3. The node control device according to Claim 1, further comprising information channel insuring means for determining whether the information channel is insured after setting the cut-through optical path between the node devices on the route where the cut-through optical path is set before transmitting the cut-through setting packet, and setting the cut-through optical path only when the information channel is insured.

4. A node device comprising:

a router for determining an output destination of a transfer packet which is input according to the header information;

an optical cross-connect for setting optical paths between arbitrary input/output optical fibers by extracting (dropping) optical signals from an optical fiber or inserting (adding) optical signals into an optical fiber or relaying optical signals from input fibers to output fibers; and

a node control device according to Claim 1 for switching the route of optical paths using optical switches in said optical cross-connect according to the instructions of the received transfer packet or based on self judgment.

5. The node device according to Claim 4, further comprising a switch which connects a destination-based buffer to some of the outputs from said router to said optical cross-connect, and can connect a packet read from said

destination-based buffer to an arbitrary input port of said optical cross-connect.

6. The node device according to Claim 5, further comprising allowable delay recognition function means at said router for determining the allowable delay of a transfer packet, so that packets are directly output to optical cross-connect or destination-based buffers according to their allowable delay time or packet loss ratio.

7. A node device comprising:

a router for determining an output destination of a transfer packet which is input according to the header information of the higher layer;

an optical cross-connect for setting an optical path between arbitrary input/output optical fibers by extracting (dropping) optical signals from an optical fiber, inserting (adding) optical signals into an optical fiber or relaying optical signals;

a node control device according to Claim 1 for switching the direction of said optical cross-connect according to instructions of the received transfer packet or based on self judgment; and

optical path extraction/insertion means for the information channel for extracting optical signals with a wavelength insured for the information channel from the optical fiber, or for inserting said optical signals with a wavelength into the optical fiber, so as to enable communication of information signals with another node device.

8. A node device comprising:

a router for determining an output destination of a transfer packet which is input according to the header information;

an optical cross-connect for setting an optical path between arbitrary input/output optical fibers by extracting (dropping) optical signals from an optical fiber, inserting (adding) optical signals into an optical fiber or relaying optical signals;

a node control device according to Claim 1 for switching the direction of said optical cross-connect according to the instructions of the received transfer packet or based on self judgment; and

pilot tone signal super-imposing(overlaying) /
/receiving means for the information channel for super-imposing (overlaying) pilot tone signals on an optical path for user data or separating pilot tone signals for the information channel from the optical path for user data so as to enable communication of information signals with another node device.

9. The node device according to Claim 8, wherein said pilot tone signals for the information channel are transmitted by the time division multiplex system.

10. An optical network system wherein a plurality of node devices according to Claim 4 are disposed.

11. An optical path setting method in an optical network system comprising:

(1) a step where each node device inquire of node devices adjacent to the present node device about connection information (sometimes include traffic information) on said present node device and connection information on the node devices adjacent to said present node device each time a predetermined time elapses or a predetermined event is generated, and the present node device responds with the connection information on said present node device and the connection information on the node devices adjacent to said present node device when said present node device received said inquiry; and

(2) a step where each node device controls optical switches based on the connection information on the entire optical network system which each node device obtained in said step when a cut-through setting packet is received or based on self judgment, and sets optical paths which omit the layer 2 functions and packet processing functions for layer 3 of the node device which the node control device controls.

12. The optical path setting method according to Claim 11, wherein it is determined whether the information channel is insured after setting the cut-through optical path between the node devices on the route where the cut-through optical path is set before the setting of the cut-through optical path, and the cut-through optical path is set only when the information channel is insured.

13. The optical path setting method according to Claim 11, wherein a packet read from the destination-based buffer is transmitted to the cut-through optical path after setting.

14. The optical path setting method according to Claim 13, wherein packets are stored in said destination-based buffer based on their allowable delay time or packet loss ratio.

15. The optical path setting method according to Claim 11, the information communication between the node devices, where the cut-through optical path is set, is implemented using optical signals with a wavelength insured for the information channel after said cut-through optical path is set.

16. The optical path setting method according to Claim 11, wherein the information communication between the node devices, where said cut-through optical path is set, is implemented by super-imposing (overlying) the pilot tone signals for the information channel on the optical path for user data is set even if said cut-through optical path is set.

17. The optical path setting method according to Claim 16, wherein the pilot tone signals for said information channel are transmitted by the time division multiplex system.

ABSTRACT OF THE DISCLOSURE

A method for setting a cut-through optical path in an optical network system is proposed. Each node device inquires of node devices adjacent to the present node device about connection information on the present node device and connection information on the node devices adjacent to the present node device each time a predetermined time elapses or each time a predetermined event is generated. When the inquiry is received from the node device adjacent to the present node device, the present node device responds with the connection information and/or traffic information on the present node device and the connection information on the node device adjacent to the present node device. Then, each node device controls an optical switch based on the connection information on the entire optical network system obtained by the above inquiry and sets an optical path which omits the packet processing functions for layer 3 systems of the node device which the node control device controls when a cut-through setting packet is received or based on self judgment.

FIG. 1

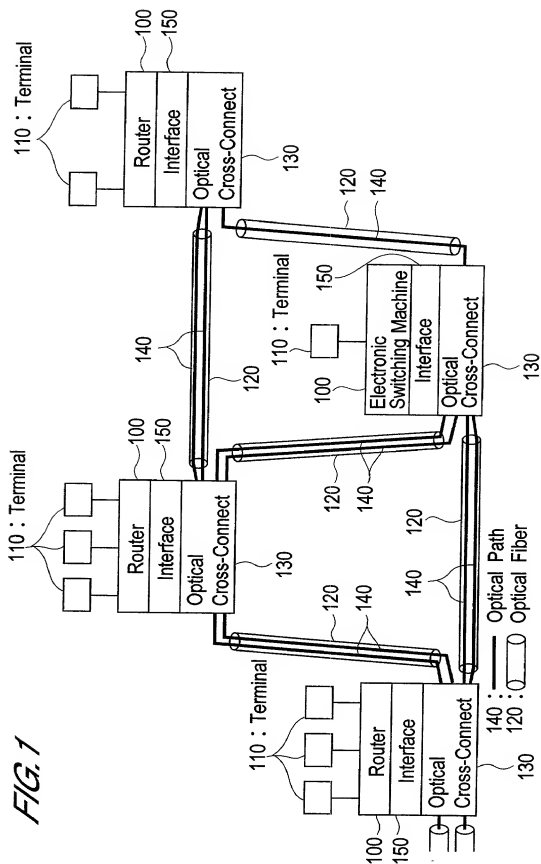
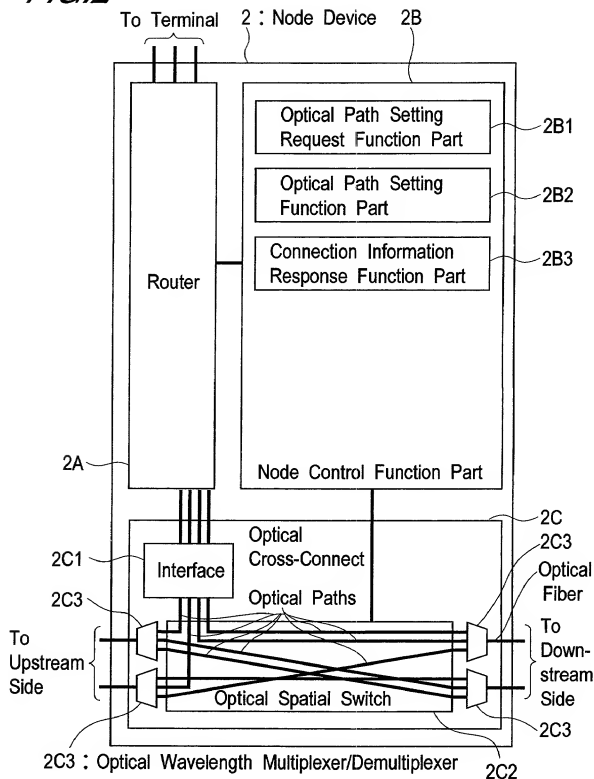
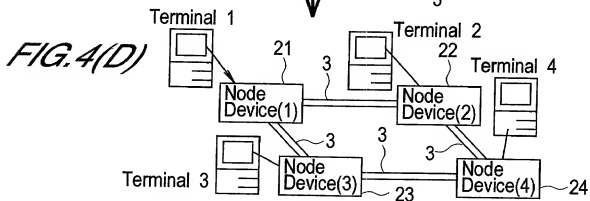
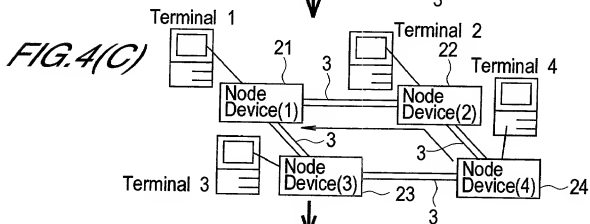
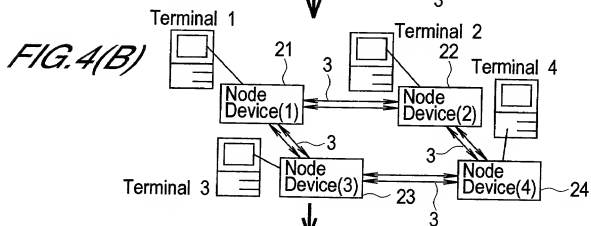
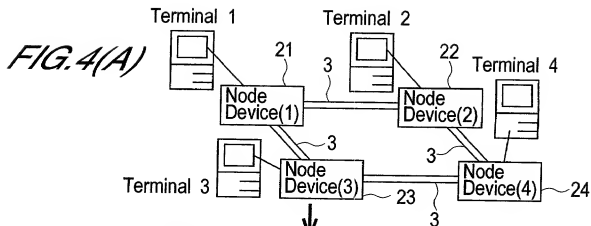


FIG. 2





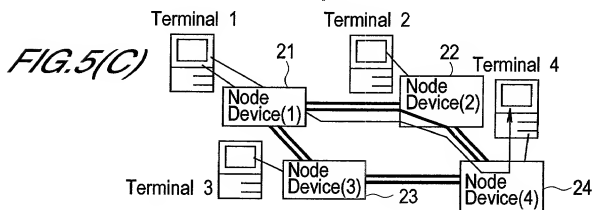
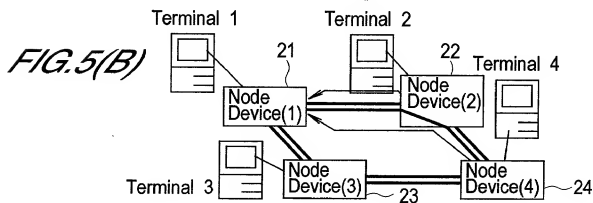
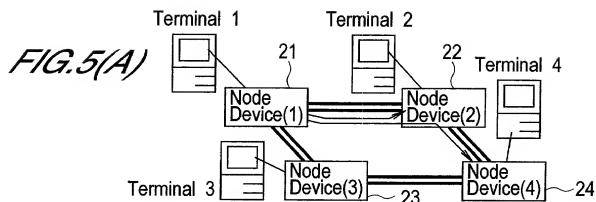


FIG. 6

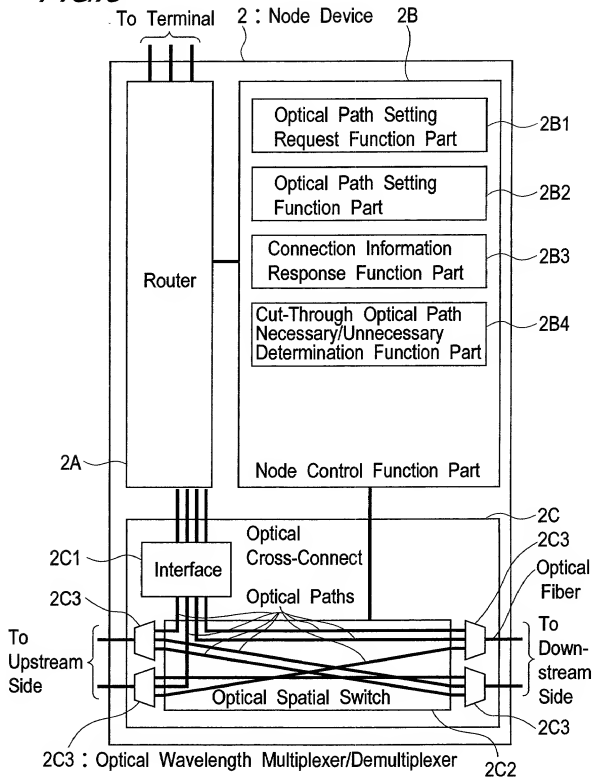


FIG. 7

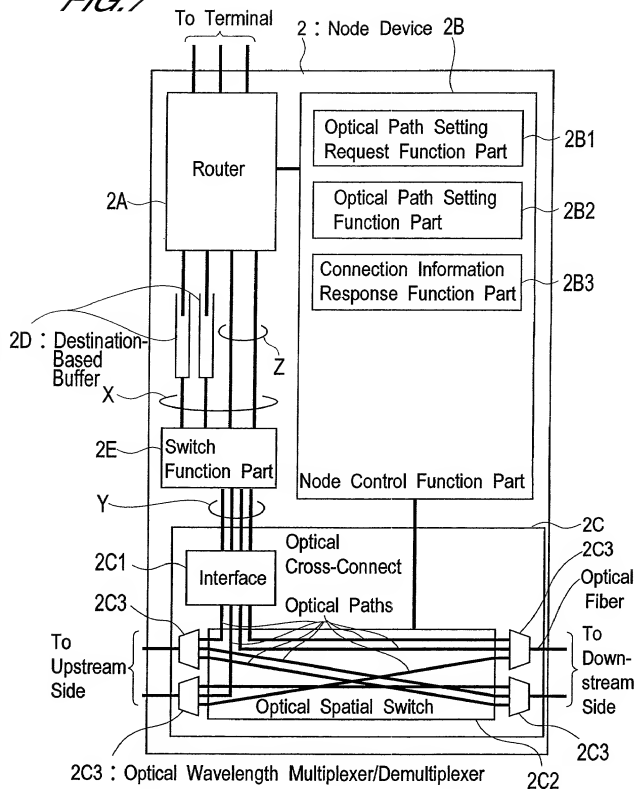
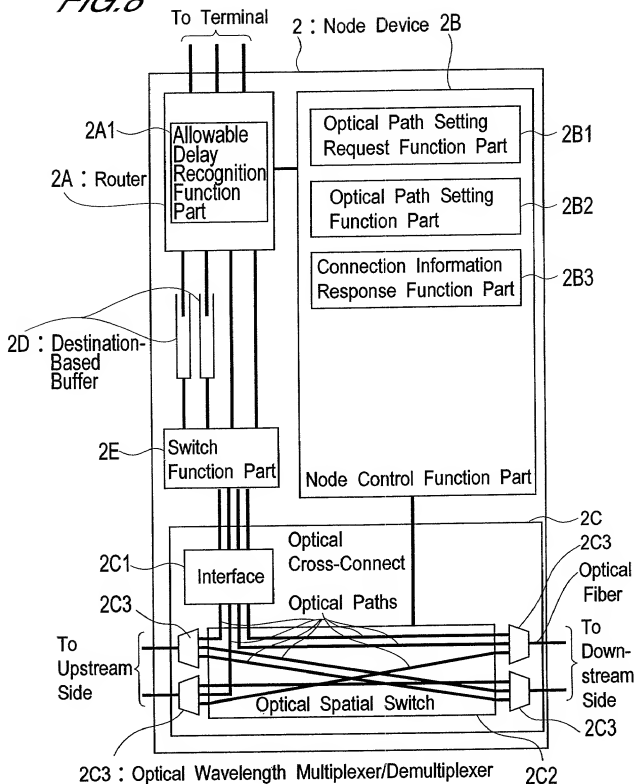


FIG. 8



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FIG. 9

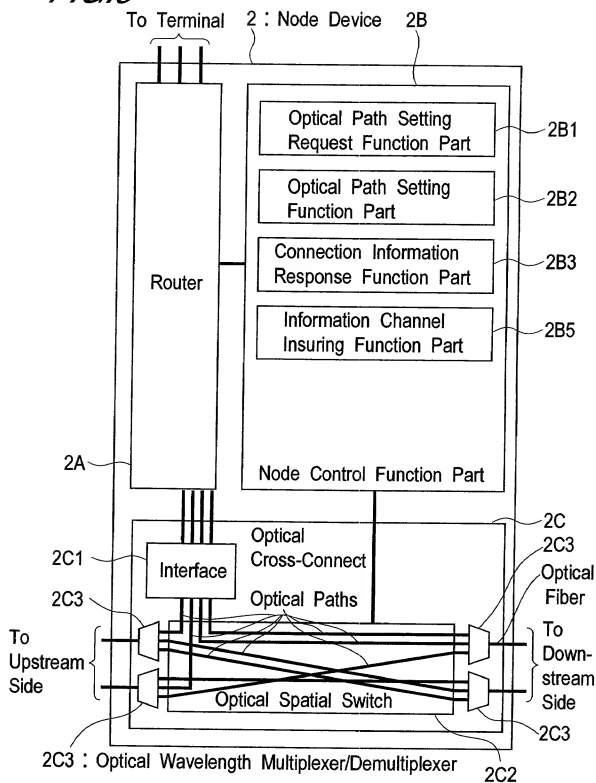


FIG. 10

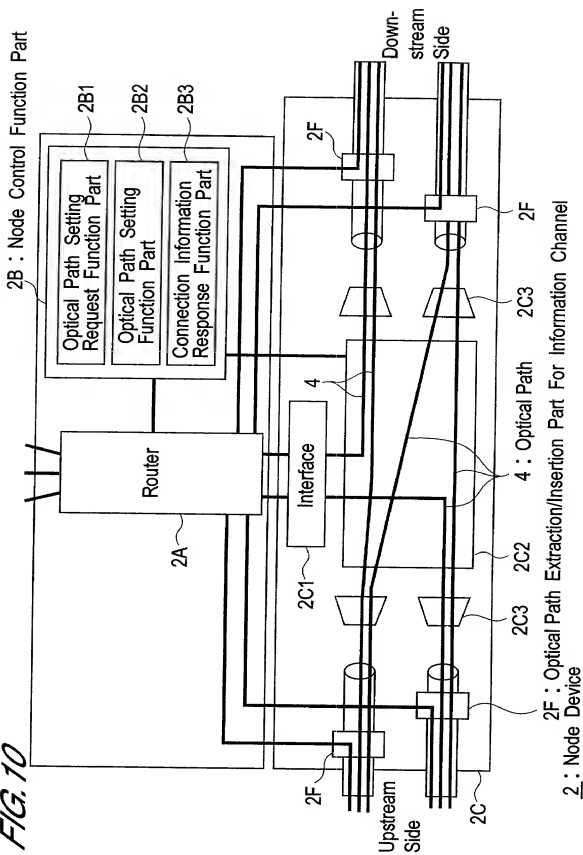


FIG. 11

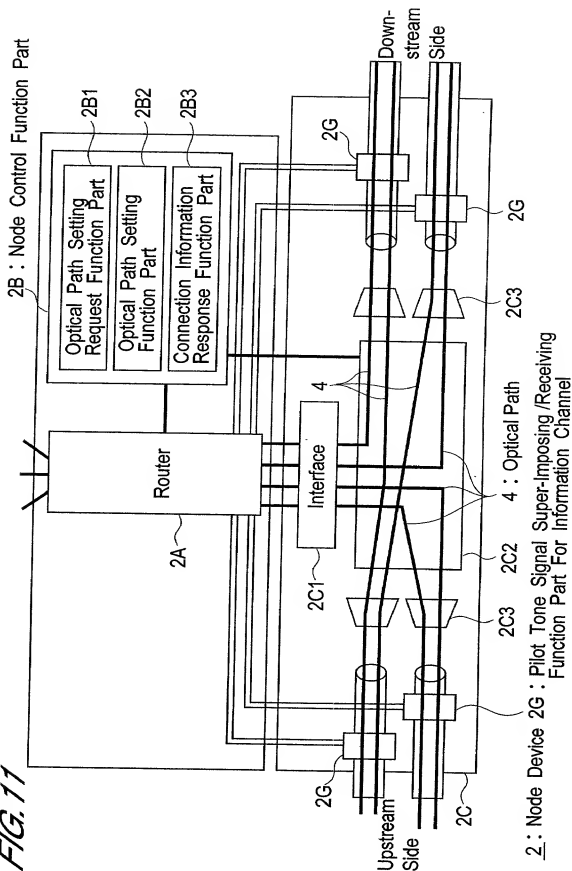


FIG. 12

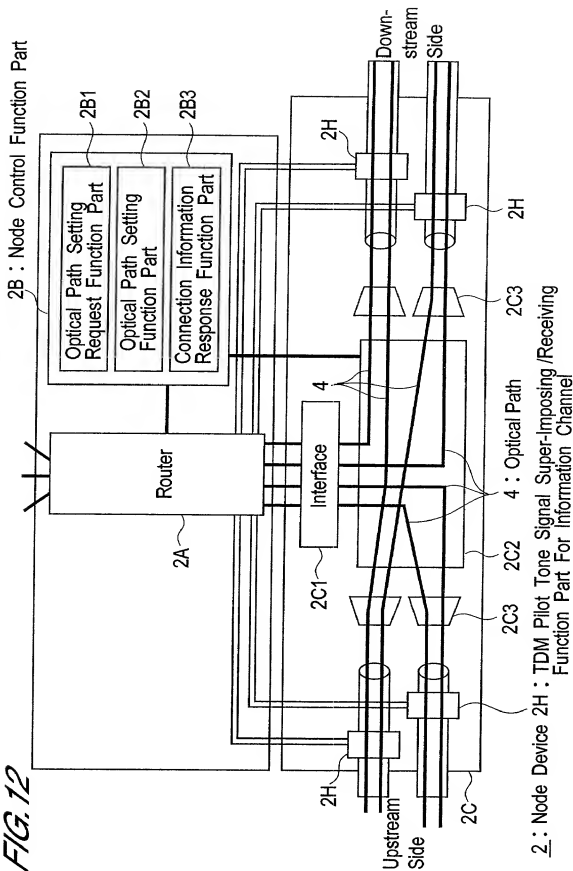


FIG. 13

Pilot Tone Signals To Be Super-Imposed

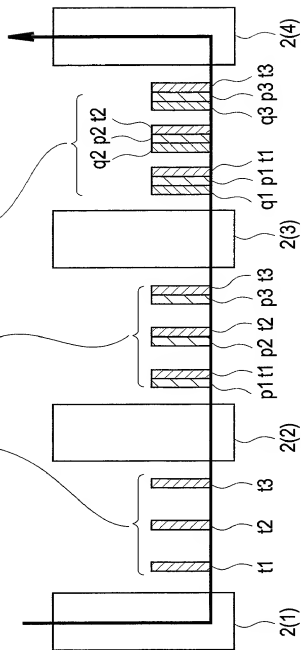


FIG. 14

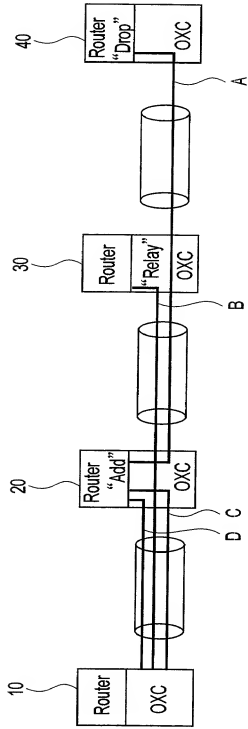


FIG. 15(A)

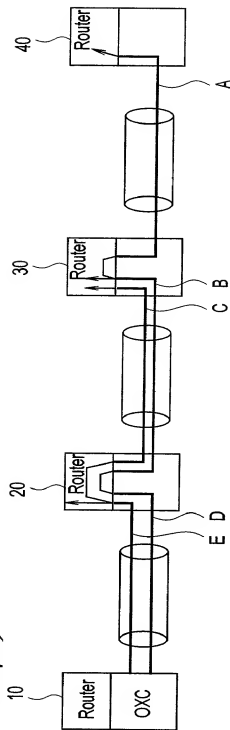
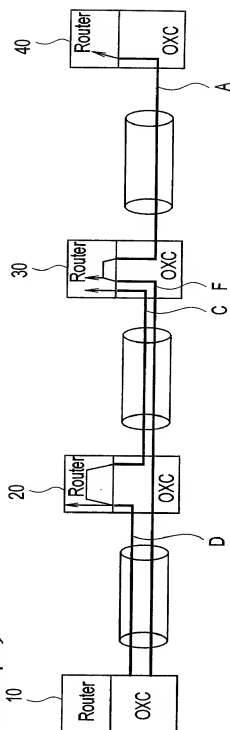


FIG. 15(B)



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Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NODE CONTROL DEVICE, NODE DEVICE AND

OPTICAL PATH SETTING METHOD

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

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国際出願番号を _____ とし、
（該当する場合） _____ に訂正されました。

☐ was filed on _____
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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Japanese Language Declaration

(日本語宣言書)

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Prior Foreign Application(s)

外国での先行出願

213186/1999	JAPAN
(Number)	(Country)
(番号)	(国名)
(Number)	(Country)
(番号)	(国名)

私は、第35編米国法典119条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)	(Filing Date)
(出願番号)	(出願日)

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(Application No.)	(Filing Date)
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I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate, or 365 (a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent of inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

July 28, 1999	<input type="checkbox"/>
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(出願年月日)	

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(Application No.)	(Filing Date)
(出願番号)	(出願日)

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(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and the such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Japanese Language Declaration

(日本語宣言書)

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (*list name and registration number*)

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書類送付先

Send Correspondence to:

Address all correspondence to **VENABLE**, Post Office Box 34385, Washington, D.C. 20043-9998.

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Robert J. Frank**VENABLE****Telephone: (202) 962-4800, Telefax: (202) 962-8300**

唯一または第一発明者名

Full name of sole or first inventor

中平佳裕

Yoshihiro NAKAHIRA

発明者の署名

Inventor's signature

Date

中平佳裕

2000年6月26日

Y. Nakahira

June 26 2000

住所

Residence

Higashi-nakawara cho 5241 Hachioji-city Tokyo Japan

国籍

Citizenship

Japanese

Japanese

私書箱

Post Office Address

c/o Oki Electric Industry Co., Ltd.

第二共同発明者名

7-12, Toranomon 1-chome, Minato-ku, Tokyo, Japan

Full name of second joint inventor, if any

第二共同発明者の署名

日付

Second inventor's signature

Date

住所

Residence

国籍

Citizenship

私書箱

Post Office Address

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors)